

Accenture and Symantec Security
Transformation Services

Compliance Transformation



High performance. Delivered.

Achieving and demonstrating compliance with regulatory mandates and industry standards can be costly and complicated. In order to drive compliance, organizations must implement a comprehensive framework built upon technology and business process controls. However, today's fast-changing regulatory requirements are making it increasingly difficult to implement effective compliance solutions that don't require frequent re-investments in IT. As a result, compliance initiatives often demand a disproportionate share of an organization's resources and can, in fact, compete with and cause major delays for other business-critical activities. As compliance requirements evolve, the IT controls that are used today in all likelihood will not address tomorrow's

In alliance with



compliance challenges. Thus, the compliance cycle can be a significant, ongoing drain on an organization's resources.

Accenture and Symantec Security Transformation Services assist organizations in implementing comprehensive and sustainable compliance programs. The Compliance Transformation Services are designed to drive an organization from reactive remediation to proactive managed compliance. Through a framework that is integrated within an organization's overall IT operations, the service offerings can help reduce the cost and complexity of sustaining compliance with regulations and industry standards.

The Challenge

Addressing compliance can be a vague and ambiguous challenge, particularly when organizations are called on to comply with multiple regulations that may even contradict themselves. Compliance controls need to be integrated within an organization's IT strategy and supported by the appropriate mix of technology, operations, and management. The goal is to move from crisis-driven, manual compliance reporting and remediation processes to proactive, highly automated compliance oversight and management.

Key Drivers

Complex regulatory requirements

Vague and non-prescriptive regulations are increasing in number and evolving faster than vendors can provide solutions. To keep pace, many organizations are building their own compliance control tracking and reporting systems—even though the penalties for getting it wrong are increasingly painful.

Lack of adequate programmatic control

Implementing effective compliance controls may require changes to existing technology infrastructures or integration of a completely new IT infrastructure. Processes are often inconsistent when departments operate in separate silos to deploy compliance initiatives. Also, there is a shortage of security experts, while gaps in knowledge and the skills required to address compliance continue to widen.

Lack of automation

Point audits, which drive up costs, are proving to be inadequate indicators of ongoing compliance. Manual processes produce even more material deficiencies,

while fragmented, ad hoc, and manually created reporting processes are inefficient and error-prone.

The Solution

Accenture and Symantec Compliance Transformation Services help transform compliance management practices into an automated, proactive and cost-effective solution. The comprehensive offerings identify compliance vulnerabilities and gaps in policies, processes, and procedures for dealing with regulations and industry standards. The services encapsulate the three elements that are essential to the implementation of a successful solution: people, processes, and technology.

Solution components are designed to produce outcomes that are critical for building an effective and efficient compliance program, including:

- Real-time visibility into any weaknesses that may exist in the compliance culture
- Comprehensive data concerning the IT controls that are currently used to mitigate regulatory risks
- Tools that enable decision-makers to monitor, evaluate, and control the current state of the compliance environment
- Consolidation of previously adapted, ad hoc solutions that were not able to address all compliance requirements

Services

Accenture and Symantec Compliance Transformation Services consist of four services available in a modular format, closely aligned to a common delivery methodology. Utilized sequentially, the four offerings systematically step an organization through the entire transformation process:

1. Compliance Program Review

Assesses which areas are in or out of scope for an organization's compliance program and determines where gaps need to be filled. Deliverables include a gap analysis, prioritized recommendations, and a high-level strategic plan to mitigate compliance vulnerabilities. Major activities cover:

- Compliance scope review
- Application review for compliance impact
- Review of existing security policies and procedures
- Review of non-technical compliance elements

2. Compliance Program Development

Delivers a customized program that integrates IT service management activities such as change management and incident management and creates a unified enterprise compliance view. This service creates re-engineered processes and comprehensive, detailed project plans. Key steps include:

- Program development
- Mitigation projects for applications, IT management processes, and policy gaps
- IT service management transformation
- Installation of data collectors and system policy management software
- Updating application portfolio data with compliance information

3. Third-Party Program Development

Categorizes relationships in terms of risk levels with third-party organizations such as outsourcing vendors, partners, and consulting organizations. Programs are designed and implemented to ensure third parties are not creating uncontrolled dependencies or new compliance gaps. The service includes:

- Third-party compliance benchmarking
- Third-party assessment tool creation
- Third-party compliance audits
- Third-party self-assessment training

4. Compliance Program Operations

Operates an enhanced compliance program. Available options range from operating an entire program and building a reporting mechanism to providing coordination assistance during audits. Activities include:

- Dashboard construction
- Compliance status reporting and remediation
- Employee awareness training
- Security testing and compliance check validation
- Audit support

Benefits

- Provides a comprehensive view of policies, practices, and regulations, and identifies compliance gaps within an organization
- Deciphers regulations as they relate to industry practices, identifies compliance challenges, and defines interdependencies
- Evaluates risk management programs and readiness for managing compliance
- Provides effective and efficient processes to collect information and prepare for individual regulatory assessments such as SOX or PCI audits
- Creates long-term strategies, tools, and processes for automated, ongoing compliance monitoring and remediation—as opposed to merely addressing annual, short-term audits to confirm that controls are in place
- Can lead to a broad improvement in overall compliance process effectiveness, ultimately reducing audit and compliance risk

- Supports enforcement of compliance policies by providing management with accurate and timely information on compliance status
- Provides testing models designed to ensure that third parties do not breach compliance standards

Why Accenture and Symantec

Compliance Transformation Services are anchored by Accenture's extensive IT and security transformation expertise and management capabilities, and built upon Symantec's technology, experience, and tools. Consequently, the offerings provide significant advantages, including:

- A deeper understanding of regulatory compliance and industry standards through Accenture and Symantec's global network
- A comprehensive risk management approach to prevent losses related to compliance failures and the attendant legal costs, recovery expenses, and damaged reputation
- An industry-leading set of re-engineered and integrated security technologies, processes, and intelligent monitoring tools to automate, sustain, and manage compliance

Conclusion

Accenture and Symantec's joint service offering can help organizations build an effective, comprehensive, and sustainable program that addresses today's compliance challenges. Organizations that will benefit most from these services include public companies, large and geographically dispersed enterprises, and any industry sector that needs to comply with regulations or industry standards,

including: retail, financial services and banking, energy and utilities, healthcare and pharmaceuticals, and government.

Contact

For additional information or to learn how your organization will benefit from Accenture and Symantec Compliance Transformation Services, contact:

Accenture

jesse.w.bowen@accenture.com

Symantec

security_transformation_services@symantec.com

The Accenture and Symantec Security Transformation Services Joint Initiative

Accenture and Symantec Security Transformation Services, a new global joint initiative, provides a comprehensive suite of security offerings for organizations grappling with the increasing complexity of managing and mitigating risk. Accenture and Symantec's primary objective is to help organizations reframe the way they think about information security. Delivering scale, experience and a diverse knowledge base with a global footprint, Accenture and Symantec are working in collaboration to provide a strategic and holistic set of end-to-end security offerings incorporating both company's services and products.

This joint initiative draws on the complementary strengths, expertise and experience of these two industry leaders, combining Accenture's broad industry experience driving high performance through acclaimed IT transformation and program management capabilities with Symantec's leading-edge technology, tools and security expertise.

Accenture and Symantec Security Transformation Services offerings enable organizations to swiftly and effectively respond to on-going information security challenges and threats.

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About Accenture

Accenture is a global management consulting, technology services and outsourcing company. Committed to delivering innovation, Accenture collaborates with its clients to help them become high-performance businesses and governments. With deep industry and business process expertise, broad global resources and a proven track record, Accenture can mobilize the right people, skills, and technologies to help clients improve their performance. With approximately 140,000 people in 48 countries, the company generated net revenues of US\$16.65 billion for the fiscal year ended Aug. 31, 2006.

www.accenture.com or
www.accenture.com/Security

About Symantec

Symantec is the global leader in providing solutions to assist individuals and enterprises assure the security, availability, and integrity of their information. Symantec provides a full range of services for assessing, architecting, implementing, supporting, and maintaining an enterprise's security, storage, and infrastructure software solutions. Symantec's global services organization also provides comprehensive maintenance, technical support, and educational services.

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